

Event Vetting

Government employees, particularly high-level officials like United States Attorneys, are subject to ethical restrictions governing the types of events they may attend. Some restrictions relate to benefits, including luncheons, dinners, awards, and tokens of appreciation they may accept. Other restrictions relate, among other things, to participation in events that are sponsored by persons who do business with or lobby the Government; that have a fundraising component; where it may appear that official attendance is being used to promote the event or the sponsor; or where elected officials may be in attendance. To assist us in vetting compliance with government ethical restrictions, we would appreciate it if you could provide the following information. If you do not have specific information for each response, please provide such general or descriptive information as you currently have. Please do not hesitate to call the Office's Government Ethics Advisor, (b)(6), (b)(7)c at (b)(6), (b)(7)c or e-mail him at (b)(6), (b)(7)c to discuss this.

1. Name, date and time, and location of event.

*Citizens Crime Commission of New York City 2015 Annual Awards Reception
November 30, 2015
6:30 PM (Cocktails & Buffet Reception) 7:30 PM (Award Presentation)
Mutual of America
320 Park Avenue, 35th Floor
New York, NY*

2. Format of event (e.g., cocktails; presentations; speeches; and/or meal).

Cocktails & Buffet Reception and Award Presentation with acceptance speeches

3. Estimated cost per attendee of event.

Individual tickets range from \$100 (nonprofit/gov rate) to \$250. Event sponsorship packages range from \$500 to \$10,000

4. Are any attendees being charged to attend the event?

Yes

- a. If so, who (in general) is being offered free attendance, and who is expected to pay?

*Free attendance for award recipients, press, Crime Commission staff and selected law enforcement personnel.
All other guests are expected to pay.*

5. Name of entity extending the invitation and link to web site (if any).

Citizens Crime Commission of New York City <http://www.nycrimecommission.org/>

- a. Does the entity extending the invitation engage in lobbying?

No.

6. Sponsor of event if different from above.

- a. Does the sponsor of the event engage in lobbying?

7. Names of additional entities that will be recognized for sponsorship or support of the event.

To be determined - Guests purchasing tickets for \$500 or more are recognized and/or allotted space in the program; guests purchasing ticket packages for \$10,000 may also have signage at the event.

8. How many persons are expected to attend?

150

9. Demographics of expected attendees (e.g., lawyers; law enforcement; business leaders; students; etc.)

lawyers; law enforcement; business leaders; elected officials; government employees; non-profit employees

10. Are any elected officials expected to attend and, if so, what is their expected role?

Yes, attendance, no role.

11. Is there a fundraising component to the event? (e.g., program advertisements; dinner ticket price; silent auction)? If so, please describe.¹

Yes, program tributes, signage at the event, and ticket price. Tickets and sponsorship packages range from \$100 to \$10,000

¹ For events that involve any fundraising leading up to or during the event: The United States Attorney may not participate in any active fundraising activity with respect to the event. Nor may the U.S. Attorney allow his name, position, or title to be used for any fundraising activity for your organization. If applicable, you may list his name as an awardee and/or speaker on the program and on invitations issued not more than 30 days prior to the event, along with other information about the program. He may not be listed on any "save the date" notices. Failure to comply with these limitations may preclude the U.S. Attorney from appearing at the event. If the U.S. Attorney is giving an official speech, he may be seated and have photos taken as appropriate for a speaker at the event, but you may not use his photo in connection with any other fundraising efforts.

12. Expected role of U.S. Attorney (e.g., simple attendance; remarks; speech)

Award recipient and acceptance speech.

13. If the U.S. Attorney is being honored:

- a. What are the criteria your organization applies to determine who should be honored for this specific award?

*Works/worked in the criminal justice field.
Made significant contributions to improving public safety in New York.*

- b. What is the internal process by which your organization selects potential honorees?

Selected by senior management staff.

- c. For what specific public service or achievement do you wish to honor the U.S. Attorney?

The Theodore Roosevelt Leadership Award recognizes pioneering leadership in the field of criminal justice

- d. Did any of the accomplishments of the U.S. Attorney which you wish to honor directly affect the organization or its members? (Describe.)

No

- e. Do any of the board members or host committee or senior staff have any matters pending before or involving the United States Attorney's Office for the Southern District of New York?

None we are aware of.

- f. Please provide a description and the value of any award item (if the award item is a plaque, certificate or trophy of little intrinsic value, you may so indicate).

Engraved glass award of little intrinsic value

- g. Who else is expected to be honored at the event?

To be determined – Senior management staff are currently selecting honorees from the business community and an NYPD officer.

14. If the U.S. Attorney is making a presentation, who else is expected to be making a presentation/be on a panel?

a. Will the U.S. Attorney be presented with a token or memento in appreciation for his presentation?

- i. ☐ Nothing
- ii. ☒ Plaque or other memento of little intrinsic value
- iii. ☐ Other. Please describe, including its value.

15. Describe any special seating arrangements for U.S. Attorney, *e.g.*, dais, general seating (depending on the nature of the event, the U.S. Attorney may or may not be able to accept “dais” seating.)

No seating - Standing reception

16. Promotion of the event: please provide a copy of or link to the invitation/promotional material for the event (or if not available, for the prior year’s event).

Invitation from 2014 event:

<http://www.nycrimecommission.org/invitation.php>